



Docket No.: Chen 2-3-1-2-2 (LU05021USU)
Serial No.: 10/724,174

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Chen et al. DOCKET NO.: Chen 2-3-1-2-2 (LU05021USU)
SERIAL NO.: 10/724,174 GROUP ART UNIT: 2883
DATE FILED: December 1, 2003 EXAMINER: Kianni, Kaveh C.
CONFIRMATION NO.: 9381
TITLE: POLYMERIC COMPOSITIONS COMPRISING QUANTUM DOTS, OPTICAL
DEVICES COMPRISING THESE COMPOSITIONS AND METHODS FOR
PREPARING SAME

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2005.

October 24, 2005


Bonnie S. Sheridan

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT TRANSMITTAL

Sir:

Transmitted herewith is an Amendment under 37 CFR § 1.111 for this application.

STATUS

Applicant is other than a small entity.



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EXTENSION OF TIME

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply. A Petition for a 1-Month Extension of Time is hereby requested.

A fee of \$120.00 for a One-Month Extension of Time is required.

FEE FOR CLAIMS

The fee for claims (37 CFR § 1.16(b)-(d)) has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)	Other than a small entity	
	Claims remaining after amendment		Highest no. previously paid for	Present extra	Rate	Additional fee
Total	26	minus	20	= 06	x \$50 =	\$300
Indep.	04	minus	03	= 01	x \$200 =	\$200
First presentation of multiple dependent claim					+ \$360 =	\$0
TOTAL ADDITIONAL FEE						\$500

- * If the entry in column 1 is less than the entry in Col. 2, enter "0" in Col. 3.
 - ** If the "Highest no. previously paid for" in Col. 2, Row 1 is less than 20, enter "20".
 - *** If the "Highest no. previously paid for" in Col. 2, Row 2 is less than 3, enter "3".
- The "Highest no. previously paid for" (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment of the number of claims originally filed.

A fee of \$500.00 for claims is required.



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FEE DEFICIENCY

A form PTO-2038 is enclosed including payment of the \$500 fee for the additional claims.

If any additional extension and/or fee is required, please charge Deposit Account No. 50-2542.

If any additional fee for claims is required, please charge Deposit Account No. 50-2542.

Respectfully submitted,

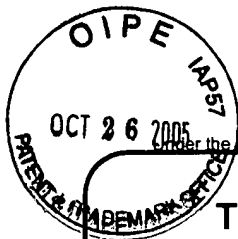
THE ECLIPSE GROUP

Date: October 24, 2005

By:

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JSW

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/724,174
Filing Date	December 1, 2003
First Named Inventor	Gang Chen
Art Unit	2883
Examiner Name	Kianni, Kaveh C.
Attorney Docket Number	Chen 2-3-1-2-2 (LU05021USU)

ENCLOSURES (Check all that apply)

- | | | |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Fee Attached
<input checked="" type="checkbox"/> Amendment/Reply
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input checked="" type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input checked="" type="checkbox"/> Information Disclosure Statement

<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Reply to Missing Parts/
Incomplete Application
<input type="checkbox"/> Reply to Missing Parts
under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers

<input type="checkbox"/> Petition
<input type="checkbox"/> Petition to Convert to a
Provisional Application
<input checked="" type="checkbox"/> Power of Attorney, Revocation
Change of Correspondence Address
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Refund
<input type="checkbox"/> CD, Number of CD(s) _____
<input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC

<input type="checkbox"/> Appeal Communication to Board
of Appeals and Interferences

<input type="checkbox"/> Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

<input type="checkbox"/> Proprietary Information

<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Other Enclosure(s) (please identify
below):
Credit Card Payment Form
Amendment Transmittal |
|---|---|---|

Remarks

Fees as Follows:

\$500 for Amendment (Additional Claims)
\$120 for Extension of Time (1-Month)
\$180 for Information Disclosure Statement

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	The Eclipse Group		
Signature			
Printed name	Jay M. Brown		
Date	October 24, 2005	Reg. No.	30,033

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Bonnie S. Sheridan	Date	October 24, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

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Applicant: Chen et al.

Title: POLYMERIC COMPOSITIONS COMPRISING QUANTUM DOTS,
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Date of Deposit: October 24, 2005

Serial No.: 10/724,174

Filing Date: December 1, 2003

Type of Documents: Transmittal Form (PTO/SB/21 – 1 pg);
Credit Card Payment Form (PTO-2038 – 1 pg);
Revocation of Power of Attorney With New Power of Attorney And
Change of Correspondence Address (PTO/SB/82 – 1 pg);
Statement Under 37 CFR 3.73(b) (PTO/SB/96 – 1 pg);
Amendment Transmittal Form (3 pgs);
Amendment (10 pgs);
Petition for Extension of Time (PTO/SB/22 – 1 pg);
Information Disclosure Statement (2 pgs);
PTO-1449 (2 pgs);
Copies of Non-Patent References Cited (17 References); and
Return Receipt Postcard

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